

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231, ON THE DATE INDICATED BELOW.

BY Helene Shabel

DATE March 14, 2002

Receipt  
JFCI  
#3



In re:

Patent Application of Yukihiro Okada *et al.* : Office of Initial Patent Examination  
Conf. No.: 2411 :  
Appln. No.: 10/045,839 :  
Filed: October 19, 2001 :  
For: ELECTRODE ALLOY POWDER AND : Attorney Docket  
METHOD OF PRODUCING THE SAME : No. 10059-389US  
: (P25427-01)

COPY OF PAPER  
ORIGINALLY FILED

**REQUEST FOR CORRECTED FILING RECEIPT**

Attached is the original Filing Receipt for the above-identified patent application.

Only one (1) drawing is indicated on the Filing Receipt as having been received. Please correct the number of drawings to three (3) as evidenced by the enclosed copy of our Transmittal sheet for the non-provisional utility patent application identified above, along with a copy of both sides of the postcard receipt acknowledging receipt by the U.S. Patent and Trademark Office of three (3) sheets of formal drawings together with the other patent application papers. A COPY of the Filing Receipt showing the requested change is also enclosed.

Please make this correction and provide us with a Corrected Filing Receipt.

Respectfully submitted,

**YUKIHIRO OKADA *ET AL.***

March 13, 2002

(Date)

By:

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WWS/hg  
Enclosures



UNITED STATES PATENT AND TRADEMARK OFFICE



COPY OF PAPERS  
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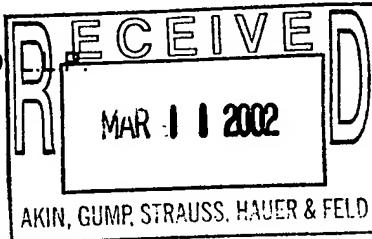
Page 1 of 2

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

FF

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/045,839	10/19/2001	1745	740	10059- 389US (P25427-01)	1	15	1

000570  
AKIN, GUMP, STRAUSS, HAUER & FELD  
ONE COMMERCE SQUARE  
2005 MARKET STREET, SUITE 2200  
PHILADELPHIA, PA 19103



CONFIRMATION NO. 2411

FILING RECEIPT

Date Mailed: 03/05/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Yukihiro Okada, Osaka, JAPAN;  
Miho Kayama, Osaka, JAPAN;  
Yoichiro Tsuji, Osaka, JAPAN;

**Assignment For Published Patent Application**

Matsushita Electric Industrial Co., Ltd.;  
Toyota Jidosha Kabushiki Kaisha;

**Domestic Priority data as claimed by applicant**

**Foreign Applications**

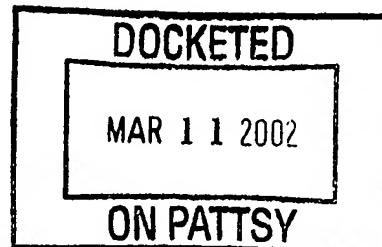
JAPAN 2000-398964 12/27/2000

If Required, Foreign Filing License Granted 03/01/2002

Projected Publication Date: 06/27/2002

Non-Publication Request: No

Early Publication Request: No



**Title**

Electrode alloy powder and method of producing the same

**Preliminary Class**

429

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**COPY**



ATTY 411051 SECY SCN PATENT RETURN POSTCARD  
ATTY DKT # 10059-3890US TODAY'S MAILING DATE 10/19/01  
EXPRESS MAIL # E29169354600US CERT OF MAIL/CERT OF SERVICE

PAT APP/PAT/REEXAM/INTF#

OF Yukihisa Okada et al.  
FOR: Electrode Alloy Powder And Method of Producing the Same

RECEIPT IS ACKNOWLEDGED BY THE USPTO FOR THE FOLLOWING:

PAT AP (PRO/NON-PROVIDES/REISSUE)  CPA REQUEST  
 DECL & POW (EXECUTED/UNEXECUTED)  AMEND/REQ RECONS/ RESTR. REQ. RESPONSE  
 2 PAGES TOTAL TEXT  RESPONSE TO MISSING PARTS  
 15 TOTAL # CLAIMS  INF. DISC. STMT. PTO-1449 & 5 REFS  
 SEQUENCE LISTING (PAPER COPY/DISK)  PCT/EXT. TIME MONTHS  
 COMPUTER CODE  ASSIGN/CHG NAME/MERGER/SEC. INT  
 PRELIMINARY AMENDMENT  PTO-1595 FORM  
 PRIORITY DOC.  VERF. STATE - SMALL ENTITY  
 COUNTRY Japan NO. 2000-398904  NOTICE APPEAL/APPEAL BRIEF (3 COPIES)  
 TRANSMITTAL LTR (US/PCT/NAT'L PHASE)  SUPPL. DECL.  
 REV/APPT OF ATTORNEY  FEE AUTH (FINAL/MAINT/DISCLAIMER)  
Check # 8961 + 8960 enclosed REQUEST (STATUS/CERTIF CORR/COR FILING RT/REFUND)  
TOTAL FEES AUTH/CHARGE DEP ACCT. # 50-10175 740.00 + 60.00 = \$ 760.00  
OTHER (PAPER TITLE) \_\_\_\_\_

jc971 U.S. PTO  
10/045839



10/19/01

WEN  
FEB - 7 2002  
FF



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ONE COMMERCE SQUARE, SUITE 2200  
2005 MARKET STREET  
PHILADELPHIA, PA 19103

CLIPPER 00000000000000000000000000000000

**COPY**

NON-PROVISIONAL  
UTILITY PATENT APPLICATION  
TRANSMITTAL - 37 CFR 1.53(b)

Duplicate  
(check, if applicable)

Assistant Commissioner for Patents  
BOX PATENT APPLICATION  
Washington, DC 20231



Attorney Docket No. 10059-389US (P25427-01)  
First Named Inventor: Yukihiro OKADA *et al.*  
Express Mail Label No. EL916935460US  
Total Pages of Transmittal Form: 2

Transmitted herewith for filing is the non-provisional utility patent application entitled:

**ELECTRODE ALLOY POWDER AND METHOD OF PRODUCING THE SAME**

which is:

an  Original; or

a  Continuation,  Divisional, or  Continuation-in-part (CIP) of prior Application No. filed.  
Anticipated Group/Art Unit: or Class, Subclass.

This non-provisional patent application is based on Provisional Patent Application No., filed.

Enclosed are:

Specification (including Abstract) and claims: 62 pages.

Application Data Sheet.

Newly executed Declaration (original).

Copy of Declaration from prior application.

Separate Power of Attorney (including 37 CFR 3.73(b) statement, if applicable).

3 sheets of drawings (format) 

Transmittal Letter Accompanying Submission of Compact Disc in Accordance with 37 C.F.R. §1.52(e), plus two identical compact discs (for computer program Appendix)

Nucleotide and/or Amino Acid Sequence Submission, including:  
 Computer readable copy  Paper Copy  Verified Statement.

Under PTO-1595 Cover Sheet, an assignment of the invention

Name of Assignee: Matsushita Electric Industrial Co., Ltd. and Toyota Jidosha Kabushiki Kaisha

Certified copy of Japanese Application No. 2000-398964 filed December 27, 2000 is filed:  herewith or  in prior application.

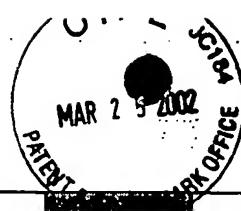
Applicant(s), by its/their undersigned attorney, claim(s) Small Entity Status under 37 C.F.R. §1.27 as  an Independent Inventor, or  a Small Business Concern, or  a Non-Profit Organization.

Preliminary Amendment.

Information Disclosure Statement, PTO/SB/08A, and cited references.

Request for Nonpublication of Application Under 35 U.S.C. §122(b)

Other:



The filing fee is calculated as follows:

			SMALL ENTITY		LARGE ENTITY	
CLAIMS	NO. FILED	NO. EXTRA	BASIC FEE:		BASIC FEE:	
Total	15 - 20 =	- 0 -	X9	\$	X18	\$
Independent	1 - 3 =	- 0 -	X42	\$	X84	\$
<input checked="" type="checkbox"/> Multiple Dependent Claims Present			\$140	\$	\$280	\$
			<b>TOTAL</b>	<b>\$</b>	<b>TOTAL</b>	<b>\$740.00</b>

- The Commissioner is not authorized to charge the filing fee at this time as we elect to defer payment of the entire filing fee until receipt of a Notice to File Missing Parts.
- A check in the amount of \$740.00 to cover the filing fee is enclosed.
- The Commissioner is hereby authorized to charge and/or credit Deposit Account No. 50-1017 (Billing No. 210059.0389) as noted below. A duplicate copy of this sheet is enclosed.
  - Any overpayments or deficiencies in the above-calculated fee.
  - Filing fee in the amount of \$ \_\_\_\_\_ as calculated above.
  - Any additional fees required under 37 C.F.R. § 1.16 and § 1.17.
  - In the event that a Petition for Extension of Time is required during the prosecution of this application, but not submitted, please charge any extension fee under 37 C.F.R. § 1.136(a) to our Deposit Account noted above.

**CORRESPONDENCE ADDRESS:**

October 19, 2001

(Date)

By: William W. Schwarze

WILLIAM W. SCHWARZE

Registration No. 25,918

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